

**APPLICATION  
FOR  
UNITED STATES LETTERS PATENT**

**To whom it may concern:**

Be it known that

Dale E. Yelton and Mae Joanne Rosok

have invented certain new and useful improvements in  
**A METHOD FOR INHIBITING IMMUNOGLOBULIN-INDUCED  
TOXICITY RESULTING FROM THE USE OF IMMUNOGLOBULINS  
IN THERAPY AND IN VIVO DIAGNOSIS**

of which the following is a full, clear and exact description.

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5 **A METHOD FOR INHIBITING IMMUNOGLOBULIN-INDUCED  
TOXICITY RESULTING FROM THE USE OF IMMUNOGLOBULINS IN  
THERAPY AND IN VIVO DIAGNOSIS**

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This application is based on United States provisional patent application Serial No. 60/023,033, filed August 2, 1996.

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Throughout this application various publications are referenced. The disclosures of these publications in their entireties are hereby incorporated by reference into this application in order to more fully describe the state of the art to which this invention pertains.

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**TECHNICAL FIELD OF THE INVENTION**

The present invention relates to methods for inhibiting or reducing immunoglobulin-induced toxicity resulting from therapy or in vivo diagnosis. Specifically, in lieu of using unmodified antibodies or recombinant binding proteins for in vivo use, the invention provides the use of modified antibodies or recombinant binding proteins which have been structurally altered in the constant domain so that upon administration immunoglobulin-induced toxicity is reduced or inhibited.

25 **BACKGROUND OF THE INVENTION**

Over the years investigators have attempted to harness the immune system for therapeutic use. Immunoglobulin (Ig) molecules which constitute an important part of the immune system are of great interest because they (1) react with a diverse family of ligands, (2) possess different effector functions and (3) are of great biological importance. Despite its potential, a persistent problem with

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immunoglobulin immunotherapy has been, among other problems, the toxic effect to normal cells of using antibodies which recognize both normal and diseased cells. This problem is far-reaching because the majority of antibodies presently available recognize a target located on both normal and diseased cells (Slavin-Chiorini, et al.,  
5 Int. J. Cancer 53: 97-103 (1993)).

The constant region can promote cell death through antibody dependent cell mediated cytotoxicity (ADCC) or by complement dependent cytotoxicity (CDC). Despite the deletion of portions of the constant region, particularly the CH<sub>2</sub> domain,  
10 the antigen binding function can be retained (D. Yelton, M. Scharf, Mutant monoclonal antibody with alterations in biological functions, J. Exp. Methods 156:1131-1148 (1982)).

Others have generated a CH<sub>2</sub>-deleted antibody (Mueller et al., Proc. Natl. Acad. Sci.  
15 USA 87: 5702-5705 (1990)). Their findings provide that the CH<sub>2</sub>-deleted antibody was cleared from the blood of tumor-bearing mice much faster than the corresponding intact antibody. Other in vivo findings also confirmed that a CH<sub>2</sub>-deleted antibody, designated ch14.18DCH2, is a potentially useful reagent for radioimmuno-  
20 detection of human tumors because of its reduced immunogenicity, increased target specificity, and rapid clearance from circulation (Mueller et al., Proc. Natl. Acad. Sci. USA 87: 5702-5705 (1990)).

Generally, whole antibody molecules are composed of two heavy (H) and two light (L) chains which are held together by covalent bonds (disulfide) and non-covalent  
25 interactions. Each chain contains a variable region (V) and a constant region (C).

The variable regions at the amino termini of the two chains form the antigen binding region. The constant region of the H chain has three components or domains.

6, Occasionally, <sup>the</sup> the first constant region domain (CH<sub>1</sub>) interacts with the C region of the L chain through hydrophobic interactions and generally a disulfide bond,

8 depending on isotype. The next C region stretch is the hinge-acting disulfide bond (S) stably introduced between two H chains. The second constant region domain (CH<sub>2</sub>) is adjacent to the hinge region. CH<sub>2</sub> contains sequences important for effector functions of the antibody, such as the sequences responsible for complement  
6 5 fixation, and Fc receptor binding. The third constant region domain (CH<sub>3</sub>) is located at the carboxyl terminus of the H chain, and is considered to play an important role in H chain assembly as well as some C region functions.

10 Today many antibodies in clinical trials are directed against tumor associated antigens. Most tumor associated antigens are not tumor specific but are also generally found on the cell surface of some normal, non-tumorigenic cells. The clinical use of some antibodies directed against tumor associated antigens are limited because of the toxicity associated with their use. Therefore, there is a need for methods for inhibiting toxicity associated with immunoglobulin use in the field of  
15 disease therapy (e.g., therapy for tumors, kidney disease, and the like) and in vivo diagnosis.

We addressed this need by discovering methods for inhibiting or reducing toxicity to normal cells generally associated with immunoglobulin immunotherapy or in vivo  
20 diagnosis, wherein the immunoglobulin recognizes both diseased and normal cells. Our discovery involves generating immunoglobulin molecules or Ig fusion proteins having structurally altered constant regions which inhibit or reduce immunoglobulin-induced toxicity.

## 25 SUMMARY OF THE INVENTION

The present invention provides methods for inhibiting immunoglobulin-induced toxicity by using known immunoglobulin or Ig fusion protein molecules which are structurally altered in their constant regions so that the resulting structurally altered

immunoglobulin or Ig fusion protein molecules exhibit reduced or inhibited toxicity in vivo compared to their original unmodified counterparts.

Structural alteration of the constant region may be effected in a number of ways as long as it results in reducing or inhibiting immunoglobulin-induced toxicity.

In accordance with the practice of the invention, structural alteration of the constant region is effected by deletion of the entire constant region. In another embodiment, only the CH<sub>2</sub> domain is deleted. In another embodiment, only that portion of the CH<sub>2</sub> domain that binds the Fc receptor is deleted. In yet another embodiment, only that portion of the CH<sub>2</sub> domain that binds the complement component C1q is deleted. Alternatively, in another embodiment, multiple deletions in discrete Fc receptor and complement component binding domains are effected.

Alternatively, structural alteration is effected by single or multiple mutations in the CH<sub>2</sub> domain such as amino acid insertions and substitutions. The mutation or mutations must result in inhibiting immunoglobulin-induced toxicity. By way of example, the amino acids in multiple toxicity associated domains in the constant region can be altered so as to render the constant region unable to mediate a ADCC response or activate complement thereby inhibiting immunoglobulin induced toxicity resulting from immunotherapy. Alternatively, multiple amino acids in a single toxicity associated domain in the constant region can be altered.

Further alternatively, structural alteration can be effected by isotype switching resulting in an altered immunoglobulin molecule that either does not induce toxicity or induces some limited toxicity but does not cause a harmful effect. For example, isotype switching can result in the constant region being unable to mediate a CDC or ADCC response or some other activity which mediates toxicity.

## BRIEF DESCRIPTION OF THE FIGURES

Figure 1 is a line graph showing plasma clearance in high  $Le^y$  expressing dogs using chimeric BR96 versus constant region mutant of cBR96- $\lambda^A$ .

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Figure 2 is a schematic diagram of a plasmid designated pTWD-cJVK.L1 including the chimeric (c)BR96-light chain (SEQ ID NO. 11).

Figure 3 is a schematic diagram of a plasmid designated pD16hJ1.L1 including the human (h)BR96-light chain (SEQ ID NO. 13).

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Figure 4 is a schematic diagram of a plasmid, designated pD17-hJm14-dCH2.H1, of hBR96-2A (i.e., human mutant BR96 having the H1, H2, and H3 mutations and the CH<sub>2</sub> deletion (PCT  $\lambda^{EP}$  Application No. 95/305444, published March 6, 1996)).

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Figure 5 is a schematic diagram of a plasmid, designated pD17-cJ-dCH2.H1, of cBR96-A (SEQ ID NO. 10) (i.e., chimeric BR96 having the CH<sub>2</sub> deletion (PCT  $\lambda^{EP}$  Application No. 95/305444, published March 6, 1996)).

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Figure 6 is a schematic diagram of a plasmid, designated pD17-cJ.H1, of cBR96.

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Figure 7 is a line graph showing the results of an ELISA assay of (1) hBR96-2A-Dox to  $Le^y$  (closed diamond), (2) hBR96-2A to  $Le^y$  (96:0006A2 R/A)(closed square), (3) hBR96-2A to  $Le^y$  (96:0006B R/A)(closed triangle), and BR96-Dox to  $Le^y$  (X).

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Figure 8 is a line graph showing the results of an ELISA assay of (1)  $\lambda^c$ BR96-A-Dox to  $Le^y$  (closed diamond), (2) chiBR96 to  $Le^y$  (closed square), (3) cBR96-A to  $Le^y$  (96:0003 R/A)(closed triangle), and cBR96-Dox to  $Le^y$  (X).

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Figures 9a-c are schematic diagrams showing the steps for deleting a CH<sub>2</sub> domain.

Figures 10a-c are schematic diagrams showing the construction of BR96 IgG1 CH<sub>2</sub> domain point mutations.

β Figure 11 is a schematic diagram showing the construction of the <sup>pMg1.14</sup> ~~pMg1.14~~ vector.

10 Figure 12 is a schematic diagram showing the construction of pD17-hBR96-2.

Figure 13 is a schematic diagram showing the construction of pD17-hJm14-dCH2.H1.

β 15 Figure 14 is the nucleic acid sequence of pD17-cJ-dCH2.H1, the plasmid shown in Figure 5, chimeric BR96 having the CH<sub>2</sub> deletion. <sup>(SEQ ID NO: 10)</sup>

Figure 15 is a line graph showing the results of an ELISA assay comparing whole chiBR96 and deleted CH<sub>2</sub> chiBR96 on Le<sup>y</sup>.

20 Figure 16 is a description of the seven structural alterations.

Figure 17 is a schematic diagram of a plasmid designated pD17-hG1b.

β 25 Figure 18 is the nucleic acid sequence of pD17-hJm14.H1. <sup>(SEQ ID NO: 22)</sup>

β Figure 19 is the nucleic acid sequence of pD17-hG1b. <sup>(SEQ ID NO: 23)</sup>

Figure 20 is a line graph showing complement dependent cytotoxicity. In the legend, the closed square is hBR96-1; closed diamond is hBR96-2B; closed circle is

hBR96-2C; closed triangle is hBR96-2D; open square is hBR96-2H; open circle is hBR96-2A and open triangle is 2B8, anti-*Pseudomonas aeruginosa* flagella type b mAb, negative control.

- 5 Figure 21 is a line graph showing antibody dependent cell-mediated cytotoxicity. In the legend, the closed square is hBR96-1; closed diamond is hBR96-2B; closed circle is hBR96-2C; closed triangle is hBR96-2D; open square is hBR96-2H; open circle is hBR96-2A and open triangle is 2B8, anti-*Pseudomonas aeruginosa* flagella type b monoclonal antibody (mAb), negative control.

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Figure 22 is a line graph showing binding activity of hBR96-2 constant region mutants on LeY-HSA. In the legend, the solid diamond is hBR96-1; solid square is hBR96-2A (CH2 deletion); solid triangle is hBR96-2B (235, 237 mutations); open square is hBR96-2C (318, 320, 322 mutations); open circle is hBR96-2D (331 mutation); and open triangle is hBR96-2H (235, 237, 318, 320, 322, 331 mutations).

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Figure 23 is a line graph showing binding activity of hBR96-2 constant region mutants on LNFPIII-BSA. LNFPIII is a lacto-N-fucopentasose, a Lewis X trisaccharide with an additional lactose spacer (V Labs, Covington, LA). In the legend, the solid diamond is hBR96-1; solid square is hBR96-2A (CH2 deletion); solid triangle is hBR96-2B (235, 237 mutations); open square is hBR96-2C (318, 320, 322 mutations); open circle is hBR96-2D (331 mutation); and open triangle is hBR96-2H (235, 237, 318, 320, 322, 331 mutations).

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Figures 24A and 24B provide a strategy for introducing multiple mutations by RPCR. (A) Diagram of the 1.4 kpb IgG heavy chain region showing the hinge CH<sub>2</sub> and CH<sub>3</sub> domains as boxed regions. Site-specific mutations to be introduced into CH<sub>2</sub> positions L1, L2, and L3 are encoded by complementary sets of mutant PCR



primers (A1 and A2; B1 and B2; and C1 and C2). The asterisks (\*) indicate the number of amino acid changes introduced at each L position. The two PCR primers, Rs (Recombination -sense) and Ra (Recombination-antisense), flank the Eco-47-III restriction sites and mediate homologous recombination with vector ends. The 3' ends of the oligonucleotides are represented by arrowheads. (B) A three-way homologous recombination event between fragments RsA2, A1Ra and the linearized vector produces the L1 mutant IgG. Two distally located sets of mutations (L1 and L2) are simultaneously introduced by increasing the number of recombining PCR products as is shown in the four-way recombination of RsA2, A1B1, B1Ra with vector.

Figure 25 is a gel showing Eco-47-III restriction endonuclease analysis of DNAs prepared from colonies generated by multiple PCR fragment RPCR. Lane M: 1kb ladder DNA marker (GIBCO/BRL Life Science Technology). Lanes 1-12: Twelve randomly selected colonies resulting from quadruple homologous recombination events were used to prepare plasmid and digested with Eco47-III. Clones 1, 2, 6 and 9 contain the fully assembled 1.4 kpb insert.

Figure 26 provides the amino acid sequence for hBR96-2 heavy-chain variable region and the human IgG1 constant region. (SEQ ID NO. 24) (SEQ ID NO. 25)

Figure 27 provides the amino acid sequence for hBR96-2A heavy-chain variable region and the human IgG1 constant region. (SEQ ID NO. 24) constant region without the CH<sub>2</sub> domain (SEQ ID NO. 26)

Figure 28 provides the amino acid sequence for chi BR96 heavy-chain variable region and the human IgG1 constant region without the CH<sub>2</sub> domain. (SEQ ID NO. 27)

## DETAILED DESCRIPTION OF THE INVENTION

## DEFINITIONS

5 As used herein the term "inhibiting immunoglobulin-induced toxicity" means to reduce or alleviate symptoms generally associated with toxicity caused by immunoglobulin or Ig fusion protein therapy, e.g., toxicity mediated by effector  
 6 functions of the Fc <sup>portion</sup> ~~receptor~~. For example, BR96 antibody recognizes and binds BR96 antigen which is found at some levels in the gastrointestinal tract and at  
 7 elevated levels in tumors (as compared to <sup>normal</sup> ~~the~~ gastrointestinal tract ~~of normal~~  
 8 tissues). The binding of BR96 antibody to BR96 antigen in vivo causes symptoms associated with gastrointestinal toxicity. These symptoms include rapid onset of  
 9 vomiting, often with blood, and nausea. In humans the bleeding is limited to the fundus of the stomach, causing erosion of the superficial mucosa of the stomach.

15

The pathology of the wound is limited and resolves. However, the extreme nature of the nausea and vomiting, unrelieved by anti-emetics, defines it as the dose-limiting toxicity. For highly elevated levels of other antigens found in the central nervous system (CNS), liver, and other locations, the toxicity will be characterized by symptoms other than those described above.

20

As used herein the term "immunoglobulin molecule" can be produced by B cells or be generated through recombinant engineering or chemical synthetic means. Examples of immunoglobulin molecules include (1) antibodies, e.g., polyclonal and monoclonal antibodies, chimeric or humanized, and (2) recombinant Ig containing binding proteins, e.g., Ig fusion proteins. Recombinant Ig containing binding proteins include cell surface proteins, e.g., CD antigens (in one embodiment, CTLA4), to which an Ig tail is joined.

As used herein the terms "structurally altered" or "structural alteration" means manipulating the constant region so that the resulting molecule or protein exhibits a diminished ability to induce toxicity. Structural alteration can be by chemical modification, proteolytic alteration, or by recombinant genetic means. Recombinant  
5 genetic means may include, but is not limited to, the deletion, insertion and substitution of amino acid moieties.

As used herein the terms "multiple toxicity associated domains" means more than one discrete toxicity associated domain. As there appear to be at least two toxicity  
10 associated domains in the immunoglobulin molecule, one roughly localized to amino acids 231-238 and another roughly localized to amino acids 310-331, an example of the structural alteration of multiple toxicity associated domains comprises the insertion, substitution or deletion of amino acid residues in both of these domains. This definition  
15 domain.

Merely by way of example, the constant region of the immunoglobulin molecule can be structurally altered so that the molecule no longer mediates a CDC or ADCC  
6 response. However, the methods of the invention encompasses the use of structurally altered immunoglobulin molecules regardless of whether it mediates a  
20 CDC or ADCC response. The underlying requirement is that the altered molecule must inhibit immunoglobulin-induced toxicity.

Structural alteration can be effected in a number of ways. For example, structural  
25 alteration can be effected by deletion of the entire constant region.

Alternatively, structural alteration can be effected by deletion of the entire CH<sub>2</sub> domain of the constant region. In this instance, deletion of the entire CH<sub>2</sub> domain may render the molecule unable to (1) bind an Fc receptor thereby eliminating the

molecule's possibility of mediating antibody-dependent cellular cytotoxicity (ADCC), (2) bind C1q, or (3) activate complement.

Alternatively, structural alteration can be effected by deletion of only that portion of  
5 the CH<sub>2</sub> domain that binds the Fc receptor or complement.

Further alternatively, a single mutation or multiple mutations such as substitutions and insertions in the CH<sub>2</sub> domain can be made. The underlying requirement of any mutation is that it must inhibit, diminish, or block immunoglobulin-induced toxicity.

10 For example, this can be achieved by mutating the constant region such that the altered molecule is rendered unable to mediate a CDC response or an ADCC response, or to activate complement.

Alternatively, structural alteration can be effected by isotype switching (also known  
15 as class switching) so that the altered molecule does not induce toxicity in the subject. In one embodiment, the constant region of the immunoglobulin is structurally altered so that it no longer binds the Fc receptor or a complement component, e.g., switching a molecule's original IgG isotype from IgG1 to IgG4. Isotype switching can be effected regardless of species, i.e., an isotype from a non-  
20 human being can be switched with an isotype from a human being (E.D. Finkelman et al. (1990) Annu. Rev. Immunol. 8:303-333; T. Honjo et al. (1979) Cell 18: 559-568; T. Honjo et al. In "Immunoglobulin Genes" pp. 124-149 Academic Press, London)).

25 As used herein the term "Ig fusion protein" means any recombinantly produced antigen or ligand binding domain having a constant region which can be structurally altered.

As used herein "cytotoxic agent" includes antimetabolites, alkylating agents, anthracyclines, antibiotics, anti-mitotic agents, and chemotherapeutic agents. Specific examples within these groups include but are not limited to ricin, doxorubicin, daunorubicin, taxol, ethidium bromide, mitomycin, etoposide, teniposide, vincristine, vinblastine, colchicine, supporin, gelonin, PE40, bryodin, dihydroxy anthracin dione, actinomycin D, and 1-dehydrotestosterone.

As used herein the term "BR96" refers to (1) the whole BR96 monoclonal antibody disclosed in <sup>EP</sup>PCT No. 95/305444, published March 6, 1996, (2) chimeric BR96 monoclonal antibody disclosed in <sup>EP</sup>PCT No. 95/305444, published March 6, 1996, or (3) BR96 mutant molecules disclosed in <sup>EP</sup>PCT No. 95/305444, published March 6, 1996.

As used herein, "treating" means to (1) provide tumor regression so that the tumor is not palpable for a period of time (standard tumor measurement procedures may be followed (A.B. Miller et al. "Reporting results of cancer treatment" Cancer 47:207-214 (1981)); (2) stabilize the disease; or (3) provide any clinically beneficial effects.

As used herein, an "effective amount" is an amount of the antibody, immunoconjugate, or recombinant molecule which kills cells or inhibits the proliferation thereof.

As used herein, "administering" means oral administration, administration as a suppository, topical contact, intravenous, intraperitoneal, intramuscular or subcutaneous administration, or the implantation of a slow-release device such as a miniosmotic pump, to the subject.

As used herein, "pharmaceutically acceptable carrier" includes any material which when combined with the antibody retains the antibody's specificity or efficacy and is

non-reactive with the subject's immune system. Examples include, but are not limited to, any of the standard pharmaceutical carriers such as a phosphate buffered saline solution, water, emulsions such as oil/water emulsion, and various types of wetting agents. Other carriers may also include sterile solutions, tablets including  
5 coated tablets and capsules.

Typically such carriers contain excipients such as starch, milk, sugar, certain types of clay, gelatin, stearic acid or salts thereof, magnesium or calcium stearate, talc, vegetable fats or oils, gums, glycols, or other known excipients. Such carriers may  
10 also include flavor and color additives or other ingredients. Compositions comprising such carriers are formulated by well known conventional methods.

As used herein, "mutation" means a single amino acid or nucleic acid mutation or multiple mutations by whatever means, e.g., homologous recombination, error prone  
15 PCR, or site directed mutagenesis.

In order that the invention herein described may be more fully understood, the following description is set forth.

## 20 **METHODS OF THE PRESENT INVENTION**

The present invention provides a method for inhibiting immunoglobulin-induced toxicity resulting from the use of immunoglobulin during therapy or in vivo diagnosis. For example, the methods of the invention would be useful to minimize  
25 the toxicity associated with prolonged clinical exposure to immunoglobulin use during or after tumor imaging with radiolabeled antibodies.

In accordance with the practice of this invention, the subject includes, but is not limited to, human, equine, porcine, bovine, murine, canine, feline, and avian

subjects. Other warm blooded animals are also included in this invention.

This method comprises administering an immunoglobulin molecule to the subject. The immunoglobulin can be IgG, IgM, or IgA. IgG is preferred.

5

In one embodiment of the invention, the immunoglobulin molecule recognizes and binds Le<sup>y</sup>. In another embodiment, the immunoglobulin recognizes and binds Le<sup>x</sup>.

In a further embodiment, the immunoglobulin is a monoclonal antibody BR96 produced by the hybridoma deposited on February 22, 1989 with the American Type

10 Culture Collection (ATCC), ~~12301 Parklawn Drive, Rockville, MD 20852~~ and  
accorded ATCC Accession No.: HB 10036. In yet another embodiment, the

immunoglobulin is a chimeric antibody ChiBR96 produced by the hybridoma deposited on May 23, 1990, with the ATCC, ~~12301 Parklawn Drive, Rockville, MD~~

~~20852~~ and accorded ATCC Accession No.: HB 10460.

15

In accordance with the practice of the invention, the immunoglobulin can be a bispecific antibody with a binding specificity for two different antigens, one of the antigens being that with which the monoclonal antibody BR96 produced by the hybridoma having the identifying characteristics of HB 10036 as deposited with the

20 ATCC binds. Also, in accordance with the practice of the invention, the immunoglobulin can be an anti-idiotypic antibody.

As required by the invention, at least a portion of the constant region of the immunoglobulin molecule is structurally altered. Structural alteration can be

25 effected by a number of means. In one embodiment, the entire constant region, i.e., CH<sub>1</sub>, CH<sub>2</sub>, and CH<sub>3</sub> domains, can be deleted.

In another embodiment, only the CH<sub>2</sub> domain is deleted from the immunoglobulin molecule (e.g., cBR96-A (Figure 5), hBR96-2A (Figure 4). In this embodiment, the

CH<sub>2</sub> deletion may result in a molecule unable to bind the Fc receptor or a complement component.

In another embodiment, only that portion of the CH<sub>2</sub> domain which binds the complement component C1q is deleted. In yet another embodiment, mutations in specific portions of the CH<sub>2</sub> domain are made. For example, the immunoglobulin molecule may be modified by structurally altering multiple toxicity associated domains in the constant region so that immunoglobulin-induced toxicity is inhibited. A discussion of such mutations are further found hereinafter.

10

Regardless of the means, the underlying requirement for any structural alteration of the constant region is that immunoglobulin-induced toxicity is substantially reduced or inhibited. In one embodiment, immunoglobulin-induced toxicity is inhibited by structurally altering the constant region such that the molecule's ability to mediate a CDC response or ADCC response and/or activate the complement cascade is prevented or inhibited. Methods for determining whether the molecule is able to inhibit a CDC response are well known, e.g., one method involves a <sup>51</sup>Cr-release test (H. Garrigues et al. Int. J. Cancer 29:511 (1982); I. Hellström et al. PNAS 82:1499 (1985)). Methods for determining whether the molecule is able to inhibit an ADCC response are well known (I. Hellström et al. PNAS 82:1499 (1985)). Methods for determining whether the molecule is able to activate a complement cascade are well known.

In another embodiment of the invention, the method comprises administering to the subject an Ig fusion protein having a structurally altered constant region. Structural alteration of the constant region may include deletion of the entire C region or portions thereof, e.g., alteration of the CH<sub>2</sub> domain so that the altered molecule no longer binds the Fc receptor or a complement component.



The invention further provides a method for inhibiting immunoglobulin-induced toxicity resulting from immunotherapy in a subject. The method comprises administering to the subject an antibody which has been modified so that at least a portion of the constant region has been structurally altered as discussed supra. In one  
5 embodiment, the antibody recognizes and binds Le<sup>y</sup>. In another embodiment, the antibody recognizes and binds to Le<sup>x</sup>.

In accordance with the practice of this invention, the antibody can be monoclonal antibody BR96 produced by the hybridoma having the identifying characteristics of  
10 HB 10036 as deposited with the ATCC. Alternatively, the antibody can be chimeric antibody ChiBR96 produced by the hybridoma having the identifying characteristics of HB 10460 as deposited with the ATCC. Further, the antibody can be a bispecific antibody with a binding specificity for two different antigens, one of the antigens being that with which the monoclonal antibody BR96 produced by the hybridoma  
15 having the identifying characteristics of HB 10036 as deposited with the ATCC binds.

Additionally, the present invention provides a method for inhibiting immunoglobulin-induced toxicity resulting from immunotherapy for a disease in a  
B 20 subject. The <sup>antigen</sup>~~disease~~ will vary with the <sup>disease</sup>~~antigen sought to be bound~~. Examples of diseases include but are not limited to immunological diseases, cancer, cardiovascular diseases, neurological diseases, dermatological diseases or kidney disease.

25 This method comprises the following steps. Step one provides selecting an antibody for a target. Generally, the target is associated with the disease and the antibody directed to the target is known. For example, the target can be the BR96 antigen and the antibody selected is BR96.

Step two of this method provides structurally altering the constant region of the antibody so selected so that immunoglobulin induced toxicity is inhibited.

Inactivation can include any of the means discussed above. For example, inactivation can be effected by structurally altering multiple toxicity associated domains in the CH<sub>2</sub> domain of the constant region of the Ig protein so selected.

Step three of this method provides administering the structurally altered antibody of step two to the subject under conditions that the structurally altered antibody recognizes and binds the target and that such binding directly or indirectly alleviates symptoms associated with the disease.

In accordance with the invention, in one embodiment step one provides selecting an Ig fusion protein for a target. Further, the method provides mutating the Ig fusion protein so selected by structurally altering the CH<sub>2</sub> domain of the constant region of the Ig protein by the same means discussed above.

The invention further provides methods to treat human carcinoma. For example, the immunoglobulin, antibody, or Ig fusion protein discussed above can be used in combination with standard or conventional treatment methods such as chemotherapy, radiation therapy or can be conjugated or linked to a therapeutic drug, or toxin, as well as to a lymphokine or a tumor-inhibitory growth factor, for delivery of the therapeutic agent to the site of the carcinoma.

Techniques for conjugating therapeutic agents to immunoglobulins are well known (see, e.g., Arnon et al., "Monoclonal Antibodies For Immunotargeting Of Drugs In Cancer Therapy", in Monoclonal Antibodies And Cancer Therapy, Reisfeld et al. (eds.), pp. 243-56 (Alan R. Liss, Inc. 1985); Hellström et al., "Antibodies For Drug Delivery", in Controlled Drug Delivery (2nd Ed.), Robinson et al. (eds.), pp. 623-53 (Marcel Dekker, Inc. 1987); Thorpe, "Antibody Carriers Of Cytotoxic Agents In



It is apparent therefore that the present invention encompasses pharmaceutical compositions including immunoglobulin molecules, antibodies, and Ig fusion proteins all having structurally altered CH<sub>2</sub> domains, and their use in methods for treating human carcinomas. For example, the invention includes pharmaceutical compositions for use in the treatment of human carcinomas comprising a pharmaceutically effective amount of a structurally altered BR96 and a pharmaceutically acceptable carrier.

The compositions may contain the structurally altered antibody or Ig fusion protein or antibody fragments, either unmodified, conjugated to a therapeutic agent (e.g., drug, toxin, enzyme or second antibody). The compositions may additionally include other antibodies or conjugates for treating carcinomas (e.g., an antibody cocktail).

The compositions of the invention can be administered using conventional modes of administration including, but not limited to, intrathecal, intravenous, intraperitoneal, oral, intralymphatic or administration directly into the tumor. Intravenous administration is preferred.

The composition of the invention can be in a variety of dosage forms which include, but are not limited to, liquid solutions or suspensions, tablets, pills, powders, suppositories, polymeric microcapsules or microvesicles, liposomes, and injectable or infusible solutions. The preferred form depends upon the mode of administration and the therapeutic application.

The compositions of the invention also preferably include conventional pharmaceutically acceptable carriers and adjuvants known in the art such as human serum albumin, ion exchangers, alumina, lecithin, buffer substances such as phosphates, glycine, sorbic acid, potassium sorbate, and salts or electrolytes such as protamine sulfate.

In accordance with the practice of the invention, the pharmaceutical carrier can be a lipid carrier. The lipid carrier can be a phospholipid. Further, the lipid carrier can be a fatty acid. Also, the lipid carrier can be a detergent. As used herein, a detergent  
5 is any substance that alters the surface tension of a liquid, generally lowering it.

*Suh*  
*D1*  
In one example of the invention, the detergent can be a nonionic detergent. Examples of nonionic detergents include, but are not limited to, polysorbate 80 (also known as Tween 80 or (polyoxyethylenesorbitan monooleate), Brij, and Triton (for  
10 example Triton WR-1339 and Triton A-20).

Alternatively, the detergent can be an ionic detergent. An example of an ionic detergent includes, but is not limited to, alkyltrimethylammonium bromide.

15 Additionally, in accordance with the invention, the lipid carrier can be a liposome. As used in this application, a "liposome" is any membrane bound vesicle which contains any molecules of the invention or combinations thereof.

The most effective mode of administration and dosage regimen for the compositions  
20 of this invention depends upon the severity and course of the disease, the patient's health and response to treatment and the judgment of the treating physician.

The interrelationship of dosages for animals of various sizes and species and humans based on  $\text{mg/m}^2$  of surface area is described by Freireich, E.J., et al. Cancer  
25 Chemother., Rep. 50 (4): 219-244 (1966). Adjustments in the dosage regimen can be made to optimize the tumor cell growth inhibiting and killing response, e.g., doses can be divided and administered on a daily basis or the dose reduced proportionally depending upon the situation (e.g., several divided doses can be

administered daily or proportionally reduced depending on the specific therapeutic situation).

## THE MOLECULES OF THE INVENTION

5

The present invention provides structurally altered BR96 or BR96 Ig fusion proteins.

Structurally altered BR96 antibodies or Ig fusion proteins have the variable region of BR96 and a modified constant region. This modification provides structurally altered BR96 antibodies or Ig fusion proteins with the ability to inhibit

10 immunoglobulin-induced toxicity.

Various embodiments of structurally altered BR96 or BR96 Ig fusion proteins have been made.

15 In one embodiment, designated cBR96-A, the entire CH<sub>2</sub> domain of cBR96 was deleted. CBR96-A is expressed by the plasmid having the sequence shown in SEQ. ID. NO. 10. cBR96 is expressed by a plasmid having the sequence in SEQ ID NO. 9.

20 In another embodiment, designated hBR96-2A, the entire CH<sub>2</sub> domain of hBR96 was deleted. <sup>hBR96-2A</sup>~~hBR96-A~~ is expressed by the plasmid having the sequence shown in <sub>β</sub> SEQ. ID. NO. 12. <sup>-2</sup>~~hBR96~~ is a mutant BR96 having the H1, H2, and H3 mutations <sub>β</sub> described in <sup>EP</sup>~~PCT~~ Application No. 95/305444, published March 6, 1996.

25 In yet another embodiment, designated hBR96-2B, the leucine residue located at amino acid position 235 is mutated to alanine. Additionally, the glycine residue located at amino acid position 237 is mutated to alanine. The amino acid position numbering used is described in Kabat et al. Sequences of Proteins of Immunological Interest 5th Edition (1991) United States Department of Health and Human Services.

In a further embodiment, designated hBR96-2C, the glutamic acid residue at position 318 is mutated to serine; the lysine residue located at position 320 is mutated to serine; and the lysine residue located at position 322 is mutated to serine  
5 using standard protocols (Alexander R. Duncan and Greg Winter "The binding site for C1q on IgG" Nature 332:738 (1988)).

In another embodiment, designated hBR96-2D, the proline residue at position 331 is mutated to alanine (M-H. Tao et al., "Structural features of human immunoglobulin  
10 G that determine isotype-specific differences in complement activation" J. Exp. Med. 178:661-667 (1993); Y. Xu et al., "Residue at position 331 in the IgG1 and IgG4 domains contributes to their differential ability to bind and activate complement" J. Biol. Chem. 269:3469-3474 (1994)).

15 In an additional embodiment, designated hBR96-2E, the leucine residue at position 235 is mutated to alanine; the glycine residue located at position 237 is mutated to alanine; the glutamic acid residue located at position 318 is mutated to serine; the lysine residue located at position 320 is mutated to serine; and the lysine residue located at position 322 is mutated to serine (A. Morgan et al., "The N-terminal end  
20 of the CH<sub>2</sub> domain of chimeric human IgG1 anti-HLA-DR is necessary for C1q, Fc(gamma)RI and Fc(gamma)RIII binding" Immunol. 86:319-324 (1995)).

In yet a further embodiment, designated hBR96-2F, the leucine residue located at position 235 is mutated to alanine; the glycine residue located at position 237 is  
25 mutated to alanine; and the proline residue located at position 331 is mutated to alanine.

In yet another embodiment, designated hBR96-2G, the glutamic acid residue located at position 318 is mutated to serine; the lysine residue located at position 320 is





substitutions, entitled "conservative amino acid substitutions," can frequently be made in a protein without altering either the conformation or the function of the protein.

- 5 Amino acid substitutions include, but are not necessarily limited to, amino acid substitutions known in the art as "conservative".

Such changes include substituting any of isoleucine (I), valine (V), and leucine (L) for any other of these hydrophobic amino acids; aspartic acid (D) for glutamic acid (E) and vice versa; glutamine (Q) for asparagine (N) and vice versa; and serine (S) for threonine (T) and vice versa.

Other substitutions can also be considered conservative, depending on the environment of the particular amino acid and its role in the three-dimensional structure of the protein. For example, glycine (G) and alanine (A) can frequently be interchangeable, as can alanine and valine (V).

Methionine (M), which is relatively hydrophobic, can frequently be interchanged with leucine and isoleucine, and sometimes with valine. Lysine (K) and arginine (R) are frequently interchangeable in locations in which the significant feature of the amino acid residue is its charge and the differing pK's of these two amino acid residues are not significant. Still other changes can be considered "conservative" in particular environments.

- 25 In one embodiment of the present invention, the polypeptide is substantially pure, i.e., free of other amino acid residues which would inhibit or diminish binding of the polypeptide to its target and would inhibit or reduce gastrointestinal toxicity which are normally exhibited during or after antibody therapy.

## NUCLEIC ACID MOLECULES ENCODING THE PRESENT INVENTION

The nucleotide sequences and the amino acid sequences of the variable and constant regions of BR96 are known. The sequence for the immunoglobulin constant region  
5 is known and provided in Figure 18. Specific mutations in the constant region of the BR96 antibody were made. Nucleic acid molecules encoding the seven mutants described above (hBR96-2B through hBR96-2H) are as follows.

In hBR96-2B, alanine at amino acid positions 235 and 237 is encoded by codons  
10 GCU, GCC, GCA, or GCG.

In hBR96-2C, serine at positions 318, 320, and 322 is encoded by UCU, UCC, UCA, or UGG.

15 In hBR96-2D, alanine at position 331 is encoded by codons GCU, GCC, GCA, or GCG.

In hBR96-2E, alanine at positions 235 and 237 is encoded by codons GCU, GCC, GCA, or GCG. Serine at positions 318, 320, and 322 is encoded by UCU, UCC,  
20 UCA, or UGG.

In hBR96-2F, alanine at positions 235, 237, and 331 is encoded by codons GCU, GCC, GCA, or GCG.

25 In hBR96-2G, serine at positions 318, 320, 322 is encoded by UCU, UCC, UCA, or UGG. Further, the alanine at position 331 is encoded by codons GCU, GCC, GCA, or GCG.

In hBR96-2H, alanine at positions 235, 237, and 331 is encoded by codons GCU,

GCC, GCA, or GCG. Additionally, serine at positions 318, 320, 322 is encoded by UCU, UCC, UCA, or UGG.

Any of the above can be deoxyribonucleic acid (DNA), e.g., complementary DNA  
5 (cDNA), or ribonucleic acid (RNA).

## IMMUNOCONJUGATES

Immunoconjugates (having whole antibody or Ig fusion proteins) may be  
10 constructed using a wide variety of chemotherapeutic agents such as folic acid and anthracyclines (Peterson et al., "Transport And Storage Of Anthracyclines In Experimental Systems And Human Leukemia", in Anthracycline Antibiotics In Cancer Therapy, Muggia et al. (Eds.), p. 132 (Martinus Nijhoff Publishers (1982); Smyth et al., "Specific Targeting of Chlorambucil to Tumors With the Use of  
15 Monoclonal Antibodies", J. Natl. Cancer Inst., 76:503-510 (1986)), including doxorubicin (DOX) (Yang and Reisfeld "Doxorubicin Conjugated with a Monoclonal Antibody Directed to a Human Melanoma-Associated Proteoglycan Suppresses Growth of Established Tumor xenografts in Nude Mice PNAS (USA)" 85:1189-1193 (1988)), Daunomycin (Arnon and Sela "In Vitro and in vivo Efficacy  
20 of Conjugates of Daunomycin With Anti-Tumor Antibodies" Immunol. Rev., 65:5-27 (1982)), and morpholinodoxorubicin (Mueller et al., "Antibody Conjugates With Morpholinodoxorubicin and Acid-Cleavable Linkers", Bioconjugate Chem., 1:325-330 (1990)).

25 BR96 has been conjugated to doxorubicin and has been shown to be effective in therapy of certain cancers or carcinomas (Trail, P.A., Willner, D., Lasch, S.J., Henderson, A.J., Casazza, A.M., Firestone, R.A., Hellström, I., and Hellström, K.E. Cure of xenografted human carcinomas by BR96-doxorubicin immunoconjugates. Science, 261:212-215, 1993).

B

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Antimitotic agents include vincristine and vinblastine (which are commonly referred to as vinca alkaloids).

- 5 Other cytotoxic agents include procarbazine, hydroxyurea, asparaginase, corticosteroids, mytotane (O,P'-(DDD)), interferons.

Sub  
D3

- Further examples of cytotoxic agents include, but are not limited to, ricin, bryodin, gelonin, supporin, doxorubicin, taxol, cytochalasin B, gramicidin D, ethidium  
10 bromide, etoposide, tenoposide, colchicine, dihydroxy anthracin dione, 1-dehydrotestosterone, and glucocorticoid.

- Clearly analogs and homologs of such therapeutic and cytotoxic agents are encompassed by the present invention. For example, the chemotherapeutic agent  
15 aminopterin has a correlative improved analog namely methotrexate.

- Further, the improved analog of doxorubicin is an Fe-chelate. Also, the improved analog for 1-methylnitrosourea is lomustine. Further, the improved analog of vinblastine is vincristine. Also, the improved analog of mechlorethamine is  
20 cyclophosphamide.

## METHODS FOR MAKING MOLECULES OF THE INVENTION

- There are multiple approaches to making site specific mutations in the CH<sub>2</sub> domain  
25 of an immunoglobulin molecule. One approach entails PCR amplification of the CH<sub>2</sub> domain with the mutations followed by homologous recombination of the mutated CH<sub>2</sub> into the vector containing the desired immunoglobulin, e.g., hBR96-2.

- 8 For example, hBR96-2B<sup>hBR96-2F and hBR96-2H</sup> and hBR96-2D<sup>hBR96-2F and hBR96-2H</sup> have been made by this method.

Another approach would be to introduce mutations by site-directed mutagenesis of single-stranded DNA. For example, vector pD17-hG1b, which contains only the constant region of IgG1 and not the V domain of hBR96, has the fl origin of replication. This gives the vector the properties of a phagemid and site-directed mutagenesis experiments can be performed according to the methods of Kunkel, et al. (Kunkel, T.A., J.D. Roberts, and R.A. Zakour, 1987 Methods Enzymol. 154:367-383) as provided in the Bio-Rad Muta-Gene® phagemid *in vitro* mutagenesis kit, version 2. For example, <sup>hBR96-C, -E and -G</sup>~~hBR96-2B, -C, -D, -E, -F, -G, and -H~~ were made by this method.

In order that the invention described herein may be more fully understood, the following examples are set forth. It should be understood that these examples are for illustrative purposes only and are not to be construed as limiting the scope of this invention in any manner.

#### EXAMPLE 1

The following standard ELISA protocol was used.

**Materials:** Immulon2 96 well plates and Genetic Systems Specimen Diluent Concentrate (10x); antibody conjugate was Goat Anti Human Kappa-HRP Mouse Adsorbed, Southern Biotech. at 1:10,000 in Genetic Systems Conjugate Diluent (1x); Genetic Systems EIA Chromogen Reagent (TMB) (1:100); Genetic Systems EIA Buffered Substrate (1x); <sup>plate coat</sup>~~primary~~ antibody or antigen were AffiniPure F(ab')<sub>2</sub> Fragment Goat Anti Human IgG Fc Fragment specific (Jackson Immuno Research), Goat Anti Human Kappa-UNLB (Southern Biotechnology Associates), Le<sup>y</sup>-HSA (Alberta Research Council).

8 **Methods:** Dilute <sup>plate coat</sup> primary antibody or antigen to 1.0 µg/ml in 0.05M Carb/Bicarb buffer. Add 100µl of the diluted solution per well in Immulon 2 plates. Seal plates and incubate O.N. at 4°C.

5 Block plates by flicking them and blotting on paper towels. Add 200µl/well of Genetic Systems, Specimen Diluent Concentrate (1x). Incubate at least 1 hour at room temperature and then dump the contents of the plates. Wash the plates 3x in saline/Tween. Blot to dry. Allow the plates to dry at R.T. (45 min. to 1 hour). Seal and store the plates at 4°C.

10

Test samples as follows. Dilute samples and standards in Specimen Diluent at 1:10. Perform serial dilutions in separate round bottom plates. Transfer 100µl/well of final dilutions to antigen coated assay plates; then incubate O.N. at 4°C. Wash plates 3x with saline/Tween.

15

6 <sup>add</sup> ~~For conjugation add~~ 100 µl/well of antibody-HRP conjugate in Genetic Systems Conjugate Diluent (1x). Incubate plates at Room Temp. for 60 min. Wash plates 3x in saline/Tween.

20 Add 100 µl/well of Genetic Systems EIA Chromogen Reagent (TMB) 1:100 in EIA  
6 Buffered Substrate (1x). Incubate at R.T. for 15 min. and stop with 1N H<sub>2</sub>SO<sub>4</sub> 100 µl/well. Read plate at 450/630nm in EIA plate reader.

## EXAMPLE 2

25

Construction of CH<sub>2</sub> deleted BR96 molecules

Strategy for Deleting CH<sub>2</sub> Domains: To construct CH<sub>2</sub> deleted BR96 molecules, the hinge, CH<sub>2</sub> and CH<sub>3</sub> domains were removed from chimeric BR96 and humanized

BR96-2 IgG1 molecules by an Eco47-III restriction digestion in non-coding regions. The hinge and CH<sub>3</sub> domains were amplified by polymerase chain reaction (PCR) from a human IgG1 (pNγ1.14) molecule lacking the CH<sub>2</sub> domain. Two oligonucleotides (Sense 49mer, Antisense 50mer) homologous to the sequences of IgG1 constant region at both <sup>3' and 5' ends</sup> sides preserving <sup>Eco 47-III</sup> sites were synthesized. The amplified hinge and CH<sub>3</sub> domain PCR fragments were added into Eco47-III sites on BR96 IgG1 molecules by in vivo homologous recombination (P. Bubeck et al., Nucleic Acid Research (1993) 21:3601-3602). The new BR96 IgG1 molecules were verified by restriction mapping and sequencing.

10

A sewing PCR strategy was used for the construction of CH<sub>2</sub> deleted human IgG1 (pNγ1.14) (Robert M. Horton, et al. (1990) Biotech 8 (5)P, 528).

The CH<sub>1</sub> domain was amplified as a 580 bp fragment with a sense oligonucleotide (5' TGG CAC CGA AAG **CTT** TCT GGG GCA GGC CAG GCC TGA 3') (primer A) and an antisense oligonucleotide (5' **TCC** <sup>GGA</sup> ~~GAG~~ CAT GTT GGT ACC CAC **GTG GTG GTC GAC** GCT GAG CCT GGC TTC GAG CAG ACA 3') (primer B) from a linearized human IgG1 constant region vector (pNγ1.7). The PCR fragment extends from the 5' end of the Hind-III site (in bold) through the Cel-II, Sal-I, Dra-III, Kpn-I, 6 bp nucleotide spacer and Mro-I sites (in bold) at the 3' end of the CH<sub>1</sub> domain.

The CH<sub>3</sub> domain was then partially amplified (to the Xba-I site) with a sense primer (5' **GTC GAC CAC CAC** <sup>(see id no. 3)</sup> GTG GGT ACC AAC ATG TCC GGA GCC ACA TGG ACA GAG GCC GGC T 3') (primer C) and an antisense primer (5' CTG GTT <sup>GGT</sup> ~~CTT~~ CAT CTC CTC **TCT AGA TGG** 3') (primer D) from a linearized human IgG1 constant region vector (pNγ1.7). A PCR fragment (about 150 bp) with Sal-I, Dra-III, Kpn-I, 6 nucleotide spacer and Mro-I sites (in bold) on its 5' end, extends only through the Xba-1 site (in bold) within the CH<sub>3</sub> domain.



The CH<sub>1</sub> and CH<sub>3</sub> partial PCR fragments were combined in a PCR without any primer. The reaction was run through two full cycles of denaturation and re-annealing to allow the fragments to combine at the homologous region at the 3' ends. Primers A and D (described above) were added to the reaction and the PCR cycle was completed. The polymerase extends the DNA with primer A and primer D, yielding a full-length (660 bp) PCR fragment. The newly extended PCR fragment is arranged from the 5' end to the 3' end in the following order: Hind-III - CH<sub>1</sub> - Cel-II - Sal-I - Dra-III - Kpn-I - 6 bp spacer - Mro-I - CH<sub>3</sub> partial - Xba-I.

The combined PCR fragment, with the CH<sub>1</sub> and partial CH<sub>3</sub> domains, was then cloned by a blunt end ligation into a Sma-I site on a pEMBL18 vector and the sequence was confirmed by dideoxy sequencing (Sanger et al. (1977) PNAS (USA) 74:5463-5466).

To transfer the CH<sub>1</sub> and partial CH<sub>3</sub> into a mammalian expression vector, both the pEMBL18 and pNγ1.7 vectors were digested with Hind-III and Xba-I. The Hind-III and Xba-I fragment was ligated into the same sites on a linearized pNγ1.7 vector. The new construct, with CH<sub>1</sub> and a full CH<sub>3</sub> domain, was designated the pNγ1.10 vector.

The hinge fragment was amplified from a Hind-III digested pNγ1.7 vector with the primers designed to flank the hinge exon with a Sal-I and a Dra-III cloning site at each end. These sites also exist between the CH<sub>1</sub> and CH<sub>3</sub> domains of the pNγ1.10 construct. The sense oligonucleotide (5' ACC ATG **GTC GAC** CTC AGA CCT GCC AAG AGC CAT ATC 3') with a 6 bp spacer and a Sal-I cloning site (in bold) and the antisense oligonucleotide (5' CAT GGT **CAC GTG** GTG TGT CCC TGG ATG CAG GCT ACT CTA G 3') with a 6 bp spacer and a Dra-III cloning site (in bold) were used for the amplification of the hinge fragment (250 bp).

The hinge region PCR fragment was cloned into a Sma-I site on pEMBL18 by blunt end ligation. Both the pEMBL18 with the hinge domain and the pN $\gamma$ 1.10 with the CH<sub>1</sub> and CH<sub>3</sub> domains were digested with Sal-I and Dra-III. The digested hinge fragment was cloned into the Sal-I and Dra-III linearized sites on the pN $\gamma$ 1.10 vector. The new construct, now carrying the CH<sub>1</sub>, hinge and CH<sub>3</sub> domains, was designated pN $\gamma$ 1.11.

To make the final CH<sub>2</sub> deleted human IgG1 construct, both the pN $\gamma$ 1.11 construct and pN $\gamma$ 1.11 vector were digested with BamHI and HindIII. A fragment containing the CH<sub>1</sub>, hinge and CH<sub>3</sub> domains was cloned into the linearized pN $\gamma$ 1.11 vector. The new constant region IgG1 construct lacks the CH<sub>2</sub> domain and is designated pN $\gamma$ 1.14 (Figure 11).

*Digestion the vector generates*  
For digestion of BR96 IgG1 with Eco47-III, a restriction fragment with hinge, CH<sub>2</sub> and CH<sub>3</sub> domains was identified on the constant region sequence of BR96 IgG1 vector in both chimeric and humanized molecules. The 5' end of this fragment lies inside the intron between CH<sub>1</sub> and hinge and the 3' end is located inside the CH<sub>3</sub> intron of the BR96 IgG1 molecule. The hinge, CH<sub>2</sub> and CH<sub>3</sub> domains (1.368 kb fragment) were removed from BR96 IgG1 molecules by Eco47-III restriction digestion. The Eco47-III is a blunt end cutter. The BR96 IgG1 DNA digested with this enzyme does not require any pretreatment before cloning. Figure 12 is a diagrammatic representation of the pD17-hBR96-2 vector showing the Eco47-III sites used in cloning.

The CH<sub>2</sub> deleted BR96 IgG1 was then constructed as follows. The hinge and CH<sub>3</sub> domains were amplified from ~~a CH<sub>2</sub> deleted L6 IgG1 (pN $\gamma$ 1.14)~~ *the pN $\gamma$ 1.14 vector* construct with a sense oligonucleotide (5'

CAGGGAGGGAGGGTGTCTGCTGGAAGCCAGGCTCAGCGCTGACCTCAG

- β A 3' <sup>(seq id no. 7)</sup> homologous to the constant region sequence of IgG1 at the 5' end of the Eco47-III site (in bold) and an antisense oligonucleotide (5'GGAAAGAACCATCACAGTCTCGCAGGGG <sup>(seq id no. 8)</sup> CCCAGGGCAGCGCTGGGTGCTT 3') <sup>(seq id no. 8)</sup> homologous to the constant region sequence of IgG1 at the 3' end of the Eco47-III site (in bold). The Eco47-III site at the 3' end of the pNyl.14 construct is modified in the cloning process. The Eco47-III site is thus introduced into an antisense primer and used in amplification of the hinge and CH<sub>3</sub> domains.
- 10 The pD17-BR96 IgG1 vector was digested with Eco47-III and the hinge, CH<sub>2</sub> and CH<sub>3</sub> domains were removed. The linearized pD17-BR96 IgG1 vector was mixed with equimolar amounts of hinge and CH<sub>3</sub> PCR fragments. Cotransformation of the
- β PCR fragment with linearized DNA into E.coli DH5α competent cells resulted in a recombinant molecule, mediated by homologous recombination in bacteria. This
- 15 construct lacks the CH<sub>2</sub> domain of BR96 IgG1 molecules, and is designated pD17-BR96-dCH2 (Figure 13).

1.9 grams of CH<sub>2</sub>-deleted chimeric BR96 was obtained as raw material from 89L of culture supernatant.

### EXAMPLE 3

Toxicity, localization and clearance of CH<sub>2</sub>-deleted chimeric BR96 was tested in vivo as follows.

25 Three dogs received 400 mg/m<sup>2</sup> of cBR96-A, the CH<sub>2</sub> deletion mutant of chimeric BR96, and two received chimeric BR96. Both molecules had been mildly reduced and alkylated. This is required to prevent dimerization of the deletion mutant into a tetravalent form. Both control dogs experienced the typical GI toxicity and none of

the three receiving the mutant displayed any toxicity. The control dogs and two of the test dogs were sacrificed at 1 hr to obtain duodenal tissue to measure antibody localization. Both control dogs had grossly visible GI pathology, and the test dogs had normal appearing GI tissue. The third dog has continued to show no signs of toxicity.

**Results:** A significant amount of localization of the CH<sub>2</sub> deleted cBR96 (cBR96-A) occurred to the GI tract in dogs treated with 400 mg/m<sup>2</sup>, although the intact chiBR96 localized slightly better. The levels of localization indicate that roughly equivalent amounts of intact and CH<sub>2</sub> deleted cBR96 was delivered to the GI tract in these dogs.

β

Table 1. Localization of cBR96 to GI tissue.

Group	Animal	Specific	mean
		Localization	
cBR96	#271	155	135
	#272	114	
cBR96-A	#273	126	89
	#274	52	

15

Using the mean level of specific localization, an amount of cBR96-A equivalent to at least 66% of the amount of cBR96 was delivered to the target organ of toxicity, the duodenum. Based on the dose ranging done with cBR96 in dogs (some clinical signs of toxicity seen at doses of 10 mg/m<sup>2</sup>), even if this difference is real, it could

6 not explain the difference between significant toxicity and no toxicity. <sup>Evaluation</sup> evaluation to date indicated that dogs treated with cBR96-A had no toxicity, pending microscopic histopathologic examination. This evaluation was based on analysis of 2 frozen blocks per dog and 2 sections per block. Replicates were quite good. We also ran 5 historical frozen tissues from dogs treated with native cBR96 or F(ab)2/BR96 and the levels of localization for those tissues were 110 and 0, respectively, consistent with our previous data.

Assuming that there is no toxicity at marginally higher (2X) doses of cBR96-A, 10 these data indicate that the CH<sub>2</sub> domain is associated with the induction of acute gastroenteropathy, and that the removal of this domain prevents the induction of gastroenteropathy mediated by BR96.

This study confirms the results showing that F(ab')<sub>2</sub> is not toxic in the dog model 15 and that the toxicity is mediated by the constant region. The CH<sub>2</sub> deletion mutant is a candidate for targeting agents clinically. Because of the very long half-life of chimeric BR96, some decrease in the mutant's half-life should be acceptable.

6 20 Figure 1 shows the measurement of the clearance of the cBR96-A in high Le<sup>Y</sup> expressing dogs. The study used chimeric versus constant region mutant of cBR96-  
B <sup>A</sup><sub>Δ</sub>

6 CBR96-<sup>A</sup><sub>Δ</sub> did clear faster than the chimeric BR96. The localization of cBR96-A to the gastrointestinal epithelium is not significantly affected by this more rapid 25 clearance. More than enough of the cBR96-A localized to have caused toxicity.

**Discussion:** The constant region of chimeric IgG is responsible for the GI toxicity 6 seen in clinical trials, <sup>i.e.</sup> ~~p.g.~~ with chiBR96-dox. The GI toxicity seen in the dog model is very similar to the clinical toxicity. Both in man and dog, administration of the

unconjugated antibody mediates an acute GI toxicity characterized by rapid onset of vomiting, often with blood.

5 In man the bleeding is limited to the fundus of the stomach, causing erosion of the superficial mucosa of the stomach. Although the pathology of the wound is limited and resolves, the extreme nature of the nausea and vomiting, unrelieved by anti-emetics, defines it as the dose-limiting toxicity.

10 This toxicity is mediated in man and dog by the antibody molecule alone. At higher doses of the antibody-dox conjugate, additional toxicity is seen in the dog model, probably due to doxorubicin. Although the intact IgG of BR96 causes toxicity in dog and man, the F(ab')<sub>2</sub> molecule (divalent and lacking only in the constant region) is not toxic in dogs. ~~This finding has motivated our attempts at high levels, and improves the affinity and specificity of BR96 for tumor antigen.~~

15 The CH<sub>2</sub> domain is known to mediate complement and FcR binding. It was not known that structural alteration of the CH<sub>2</sub> domain would result in immunoglobulin-induced toxicity inhibition.

#### 20 Toxicology study of hBR96-2B

The toxicology study of hBR96-2B in high Lewis Y expressor dogs (n=2) showed that a dose of 400 mg/m<sup>2</sup> did not cause hematemesis nor bloody stools, in contrast to BR96 which consistently causes one or both signs. A dog sacrificed at 24 hrs had  
25 normal gross appearance of the GI tract, again in marked contrast to chimeric BR96 which causes hemorrhagic lesions and mucosal erosions.

#### EXAMPLE 4

β The polymerase chain reaction (PCR) is a widely used and versatile technique for the amplification and subsequent modification of immunoglobulin genes. The rapidity and accuracy with which antibody genes can be modified in vitro has produced an assortment of novel antibody genes ~~can be modified in vitro has produced an assortment of novel antibodies~~. For example, PCR methods have been used for engineering antibodies with increased affinity to antigen, for "humanizing" antibodies, and for modulating effector function (Marks, J.D., A.D. Griffiths, M. Malmqvist, T. Clackson, J.M. Bye and G. Winter. 1992. Bypassing immunization: high affinity human antibodies by chain shuffling. Bio/Technology 10:779-783; Rosok, M.J., D.E. Yelton, L.J. Harris, J. Bajorath, K.-E. Hellstrom, I. Hellstrom, G.A. Cruz, K. Kristensson, H. Lin, W.D. Huse and S.M. Glaser. 1996. A combinatorial library strategy for the rapid humanization of anticarcinoma BR96 Fab. J. Biol. Chem. 271:22611-22618; Morgan, A.N., D. Jones, A.M. Nesbitt, L. Chaplin, M.W. Bodmer and S. Emtage. 1995. The N-terminal end of the CH2 domain of chimeric human IgG1 anti-HLA-DR is necessary for Clq, FcγRI and FcγRIII binding. Immunology. 86:319-324).

β 20 <sup>*We introduced*</sup> ~~As part of a more comprehensive study, we desired to introduce~~ various site specific mutations in the CH<sub>2</sub> constant domain of human IgG<sub>1</sub>. Six specific amino acid residues distributed throughout the CH<sub>2</sub> domain previously identified to play a role in immune effector function were marked as targets for mutagenesis (Morgan, A.N., D. Jones, A.M. Nesbitt, L. Chaplin, M.W. Bodmer and S. Emtage. 1995. The N-terminal end of the CH<sub>2</sub> domain of chimeric human IgG1 anti-HLA-DR is necessary for Clq, FcγRI and FcγRIII binding. Immunology. 86:319-324; Duncan, A.R. and G. Winter. 1988. The binding site for C1q on IgG. Nature 332:738-740; Tao, M.-H., R.I.F. Smith and S.L. Morrison. 1993. Structural features of human immunoglobulin G that determine isotype-specific differences in complement

activation. J.Exp.Med. 178:661-667). <sup>five</sup> of the six residues were grouped into two  
B <sup>clusters. One</sup> ~~clusters~~ <sup>consisted</sup> of two residues, two amino acids apart (Location 1, or  
B <sup>consisted</sup> L1) and a second cluster <sup>consisted</sup> of three residues spanning a sequence of five  
amino acids (L2). The remaining amino acid position (L3) made for the total of six  
B 5 residues. We <sup>constructed</sup> ~~were interested in constructing~~ a panel of mutant CH<sub>2</sub> domain IgGs  
consisting of each L mutation by itself as well as in combination with other L  
mutants (e.g., L1; L1; and L2; L1, L2 and L3; etc.).

Various *in vitro* methods have been described where PCR is used to simultaneously  
10 introduce distally located site-specific mutations within a gene sequence (Ho, S.N.,  
H.D. Hunt, R.M. Horton, J.K. Pullen and L.R. Pease. 1989. Site-directed  
mutagenesis by overlap extension. Gene 77:51-59; Ge, L. and P. Rudolph. 1996.  
Simultaneous introduction of multiple mutations using overlap extension PCR.  
BioTechniques 22:28-30). Alternatively, an *in vivo* procedure termed recombination  
15 PCR (RPCR) has also successfully been used for rapidly and efficiently generating  
distally located site-specific mutations (Jones, D.H. and S.C. Winistorfer. 1993.  
Use of polymerase chain reaction for making recombinant constructs. p.241-250. In  
B.A. White (Ed.), Methods in Molecular Biology, Vol. 15. Humana Press Inc.,  
Totowa, NJ, Jones, D.H. And B.H. Howard. 1991. A rapid method for  
20 recombination and site-specific mutagenesis by placing homologous ends on DNA  
using polymerase chain reaction. BioTechniques 10:62-66). RPCR uses *E. Coli*'s  
recombination machinery to generate intact circular recombinant plasmids from a  
transfected mixture of linear PCR-generated product and linearized vector. *In vivo*  
recombination is mediated through the joining of nucleotide sequences designed into  
25 the 5' ends of both PCR primers that are homologous to DNA sequences encoded by  
the vector. In this report we describe an extension of the RPCR procedure for  
simultaneously introducing complex combinations of mutations into an antibody  
CH<sub>2</sub> domain.



Humanized BR96 variable region heavy and light chain genes, previously cloned and co-expressed as an assembled active Fab fragment in an M13 phage expression vector, provided the starting material (Rosok, M.J., D.E. Yelton, L.J. Harris, J. Bajorath, K.-E. Hellstrom, I. Hellstrom, G.A. Cruz, K. Kristensson, H. Lin, W.D.

- 5 Huse and S.M. Glaser. 1996. A combinatorial library strategy for the rapid humanization of anticarcinoma BR96 Fab. *J. Biol. Chem.* 271:22611-22618). The heavy and light chain V genes were amplified by PCR from a single-stranded M13 DNA template and subcloned by *in vivo* recombination (Jones, D.H. And B.H. Howard. 1991. A rapid method for recombination and site-specific mutagenesis by  
10 placing homologous ends on DNA using polymerase chain reaction. *BioTechniques* 10:62-66) into vectors pD17-hG1a and pD16-hC $\kappa$ , to form pBR96-hG1a and pBR96-hC $\kappa$  respectively. pD17-hG1a and pD16-hC $\kappa$  are eukaryotic immunoglobulin expression vectors derived from pcDNA3 (Invitrogen, San Diego, CA). The plasmid pBR96-hG1a was further modified by site-directed mutagenesis  
15 to introduce two Eco47-III restriction sites flanking the immunoglobulin hinge-CH<sub>2</sub>-CH<sub>3</sub> domains using standard procedures. The recipient vector was then prepared by digesting pBR96-hG1a with Eco47-III, isolating the vector backbone by agarose gel electrophoresis followed by extracting the vector DNA from the excised gel slice using the Qiagen Gel Extraction kit (Qiagen, Chatsworth, CA).

20

The strategy for introducing multiple mutations within the immunoglobulin CH<sub>2</sub> gene, shown in Figure 24, relies on the *in vivo* homologous recombination of several independently amplified PCR products with each other as well as with the pBR96-hG1a vector DNA. For introducing mutations at two distal locations two PCR  
25 products are synthesized (Figure 24B). One end of each PCR product is for recombining with an homologous end of the linear vector, and the other end, encoding the mutation(s) of interest, is for recombining with the neighboring PCR product. As shown in Figure 24B, additional distally-located mutations can be introduced into a target sequence by increasing the number of PCR products

proportionately. The recombination of neighboring PCR products always occurs across the regions containing the desired mutations, therefore the oligonucleotide primers encoding these ends (e.g., A1, A2) contain complementary mutant residues.

The mutagenic PCR primers contain at least 15 nucleotides of wild-type sequence flanking each side of the mutant residues for either priming the polymerization reaction or mediating recombination. Two 49-nucleotide long PCR sense and anti-sense primers (Rs and Ra) contain sequences for recombining with the end regions of the Eco47-III digested pBR96-hG1a vector.

Each L mutation was amplified in a separate PCR reaction. The reaction conditions were 250 ng intact pBR96-hG1a DNA template, 10 ul of 1X *Pfu* buffer (Stratagene, Inc. San Diego, CA), 10 nmol dNTPs, 200ng each of the appropriate PCR primers, 10% dimethylsulfoxide (ATCC, <sup>Manassas, VA</sup> Rockville, MD) and 2.5 units cloned *Pfu* DNA polymerase in a 100ul reaction volume. Samples were first denatured at 95° C for 5 min, cooled to 45°C for 5 min, and extended at 72°C for 1 min followed by 25 cycles of denaturation at 94°C for 45 sec, annealing at 45°C for 45 sec, extension at 72°C for 1 min/kb, followed by a final extension at 72°C for 7 min in a Perkin-Elmer DNA Thermal Cycler (Norwalk, CT). The amplified products were purified from a 1% agarose gel, extracted with Qiagen Gel Extraction kit and the recovered DNA quantitated. 50 ng of each PCR product was mixed with 25 ng of the Eco47-III digested pBR96-hG1a vector, transfected into Max competent *E. coli* DH5α according to the manufacturer's procedure (GIBCO BRL/Life Technologies, Gaithersburg, MD), and the entire transfection reaction plated onto selective LB agar plates containing 100 ug/ml ampicillin.

25

The results of several cloning experiments are summarized in the Table that follows. Typically the transformations produced from 80 to 200 bacterial colonies. Individual colonies were selected and grown overnight in 2 ml liquid cultures for isolation of miniprep plasmid DNA (Qiagen) and analysis by Eco47-III restriction

endonuclease mapping. Among 24 independent transformants analyzed from triple homologous recombination events (two PCR products plus vector) 11 clones

β contained the predicted 1.4 <sup>kb</sup> ~~kb~~ DNA insert.

5 Figure 25 shows a sample diagnostic restriction analysis of DNA prepared from clones derived from quadruple homologous recombination events (three PCR products plus vector). Additional sampling of clones resulting from quadruple recombination yielded a cloning efficiency of 29% (7 clones containing inserts/24 clones sampled). At this point, due to the small sampling sizes, we do not know  
10 whether the differences in the cloning efficiencies observed between the triple and quadruple recombination events are meaningful.

β To evaluate the expression of Le<sup>γ</sup><sub>λ</sub>-binding activity of the CH<sub>2</sub> mutant IgGs, miniprep DNAs from 6 clones derived from the triple recombination reaction and 6  
15 clones derived from the quadruple recombination reaction exhibiting the predicted diagnostic Eco47-III restriction patterns were isolated, mixed with pBR96- hCκ DNA and used to co-transfect COS7 cells. 48 hour spent supernatants from 3 ml  
β cultures were assayed for total IgG production and for Le<sup>γ</sup><sub>λ</sub> binding activity by enzyme-linked immunosorbent assay (EIA) as described (Yelton, D.E., M.J. Rosok,  
20 G.A. Cruz, W.L. Cosand, J. Bajorath, I. Hellstom, K.-E. Hellstorm, W.D. Huse and S.M. Glaser. 1995. Affinity maturation of the BR96 anti-carcinoma antibody by codon-based mutagenesis. J.Immunol. 155:1994-2004). All twelve cultures were  
β found to secrete approximately 2-3 ug/ml Le<sup>γ</sup><sub>λ</sub>-reactive IgG. The spectrum of Le<sup>γ</sup> binding activities were all similar to that of native humanized BR96 IgG indicating  
25 that the homologously recombined antibodies did not acquire any gross mutations  
β that <sup>affected</sup> ~~could affect~~ antigen binding. To confirm that the desired CH<sub>2</sub> mutations had been incorporated, and to evaluate the recombined genes for misincorporated nucleotides, four of the clones producing functional antibody were sequenced using Sequenase Version 2 DNA Sequencing Kit (United States Biochemical). One clone

was found to contain a single nucleotide change within the forward PCR primer used for mediating recombination with vector DNA. We are uncertain whether this error occurred during chemical synthesis of the oligonucleotide primer or is a result of misincorporation during the PCR reaction, despite the fact that we used a

5 thermostable polymerase with proofreading activity.

A RPCR procedure for homologously recombining up to three separate PCR-generated mutated antibody sequence products into a eukaryotic expression vector for the rapid construction of engineered IgG molecules is described herein. The

10 advantage of this approach is the ability to simultaneously introduce multiple distally-located mutations with PCR products synthesized by a single round of PCR. Recombinant DNAs are produced with a reasonably high cloning efficiency and fidelity of correct nucleotide sequences. The ability to efficiently rejoin several distinct PCR products should permit combinatorial strategies for constructing

15 complexly mutated protein domains as well as broadening the number and location of desired mutations.

Analysis of transformants generated by multiple-fragment RPCR.

Mutant IgGs Constructed	PCR Fragments in reaction	HR <sup>a</sup> events	Colonies Analyzed	Cloning Efficiency <sup>b</sup>
2	2	triple	24	45%
2	3	quadruple	24	33%
<sup>a</sup> HR-homologous recombination				
<sup>b</sup> Cloning efficiency (number of clones containing 1.4kbp insert/total number of colonies)				

## EXAMPLE 5

5 This example provides two methods for introducing site specific mutations into the  
6 CH<sub>2</sub> domain of human IgG1 constant region containing vectors.  
7

8 One method involves PCR amplification of a segment or segments of the constant  
9 region, wherein mutations are introduced using appropriately constructed  
10 oligonucleotides. The vector receiving the fragment(s) is digested with a restriction  
11 enzyme to linearize the vector. PCR amplification primers are designed so that the  
12 5' ends of the PCR fragments can hybridize to the DNA sequence of the vectors. If  
13 more than one PCR fragment is amplified, then common sequences to the two  
14 fragments are introduced by oligonucleotides. Bacteria are transfected with the PCR  
15 fragments and with the digested vector. The fragments and vector can recombine by  
16 homologous recombination using the bacteria's recombination machinery. Bacterial  
17 colonies are selected and the DNA is analyzed by size and restriction map as a  
18 preliminary determination that the vector and fragment(s) recombined correctly.  
19 Correct insertion of fragments with the mutations is confirmed by dideoxynucleotide  
20 sequence analysis. DNA is then introduced into mammalian cells as described for  
21 the CH<sub>2</sub> deleted antibody, and the expressed antibody analyzed for binding and  
22 functional activity.

23 By way of example, mutations Leu to Ala at residue 235 in CH<sub>2</sub> and Gly to Ala at  
24 residue 237 were introduced by the procedure disclosed in Example 4. The heavy  
25 chain vector used for this procedure was pD17-hG1a, similar to pD17-BR96 vector  
described herein except that humanized V regions (Rosok, M.J., D.E. Yelton, L.J.  
Harris, J. Bajorath, K-E. Hellstrom, I, Hellstrom, G.A. Cruz, K. Kristensson, H. Lin,  
W.D. Huse, and S.M. Glaser, 1996. J. Biol. Chem 271 37:22611-22618) with three  
affinity mutations (H1, H2, and H3 mutations) were substituted.

pBR96-hG1a contains two Eco47-III restriction sites flanking the Ig hinge-CH2-CH3 domains. The recipient vector was prepared by (1) digesting pBR96-hG1a with *Eco47-III*, (2) isolating the vector by agarose gel electrophoresis, and (3) extracting the vector DNA from the excised gel slice using the Qiagen Gel Extraction kit (Qiagen, Chatsworth, CA). To introduce mutations at a single location, such as for positions 235 and 237, two PCR products were synthesized.

To introduce two distally located mutations, such as for mutant F (also referred to herein as hBR96-2F) with mutations at 235, 237, 331, requires 3 PCR products. The recombination of neighboring PCR products occurs across the regions containing the desired mutations, therefore the oligonucleotide primers encoding these ends contain complementary mutant residues. The mutagenic PCR primers contain at least 15 nucleotides of wild-type sequence flanking each side of the mutant residues for either priming the polymerization reaction or mediating recombination. Two 49-nucleotide long PCR sense and anti-sense primers containing sequences for recombining with the end regions of the *Eco47-III* digested pBR96-hG1a vector.

PCR amplification used 250 ng intact pBR96-hG1a DNA template, 10  $\mu$ l of 10X *Pfu* buffer (Stratagene, Inc., San Diego, CA), 10 nmol dNTPs, 200 ng each of the appropriate PCR primers, 10% dimethylsulfoxide (ATCC, <sup>Manassas, VA</sup> Rockville, MD) and 2.5 units cloned *Pfu* DNA polymerase (Stratagen, Inc. San Diego, CA) in 100  $\mu$ l reaction. Samples were denatured at 95°C for 5 min, annealed at 45°C for 5 min, and extended at 72°C for 1 min followed by 25 cycles of denaturation at 94°C for 45 sec, annealing at 45°C for 45 sec, extension at 72°C for 1 min/kb, and a final extension at 72°C for 7 min. The amplified products were purified from a 1% agarose gel, extracted with the Qiagen Gel Extraction kit and quantitated. 50 <sup>ng</sup> ~~mg~~ of each PCR product was mixed with 25 ng of the *Eco47-III* digested pBR96-hG1a vector and transfected in E.coli MAX Efficiency DH5 $\alpha$ ™ according to the



The V region of humanized BR96-2 heavy chain was introduced by the homologous recombination method described above in pD17-hJm14.H1. The pD17-hJm14.H1 plasmid contains the BR96 humanized variable region with the H1/H2/H3 mutations and the plasmid was used to transfect mutant sequences into mammalian cells. The pD17G1b vector containing the Fc mutation(s) was digested with NheI for 3 hr at 37° C and the DNA isolated by methods described above. Insertion of the V region into the vector was determined by size and restriction enzyme mapping and confirmed by sequence analysis.

10

Transient expression of whole antibodies was performed by transfection of COS cells. For production of antibody, stable transfections of CHO cells were performed (see description of deleted CH2 mutant). All mutants were purified from CHO culture supernatants by protein A chromatography.

15

The oligonucleotide primers homologous to the vector and used to introduce the constant regions mutations were as follows:

Oligonucleotides homologous to vector sequences:

**Sens(sense)CH2 E47-3-5:** CAG GGA GGG AGG GTG TCT GCT GGA AGC

β 20 CAG GCT CAG CGC TGA CCT CAGA<sub>n</sub> (Seq ID NO. 7).

**D CH2 E47-3 A (antisense):** GGA AAG AAC CAT CAC AGT CTC GCA GGG

β GCC CAG GGC AGC GCT GGG TGC TT<sub>n</sub> (Seq ID NO. 8).

Oligonucleotides to mutate Leu235 to Ala and Gly237 to Ala (underlined sequences show sites of mutation):

25

**Antisense CH2 L235-G237/aa:** GAA GAG GAA GAC TGA CGG TGC CCC

β CGC GAG TTC AGG TGC TGA GG<sub>n</sub> (Seq ID NO. 14).

**SensCH2 L235-G237/AA:** CCT CAG CAC CTG AAC TCG CGG GGG CAC

β CGT CAG TCT TCC TCT TC<sub>n</sub> (Seq ID NO. 15).



Oligonucleotides to mutate Glu318, Lys320, Lys322 to Ser

**Antis(antisense)CH2 EKK/SSS-2:** CTG GGA GGG CTT TGT TGG AGA CCG

*B* AGC ACG AGT ACG ACT TGC CAT TCA GCC<sub>^</sub>, (SEQ ID NO. 16).

5 Oligonucleotides to mutate Pro331 to Ala:

*B* **Antis CH2 P331/A/3:** GAT GGT TTT CTC GAT GGC GGC TGG GAG GGC<sub>^</sub>, (SEQ ID NO. 17).

*B* **Sense CH2 P33/A:** GCC CTC CCA GCC GCC ATC GAG AAA ACC ATC<sub>^</sub>, (SEQ ID NO. 18).

Alternative antisense oligo to introduce Ala at 331 by site-directed mutation:

*B* **CH2P331A:** GAT GGT TTT CTC GAT AGC GGC TGG GAG GGC TTT G<sub>^</sub>, (SEQ ID NO. 19).

10

Oligonucleotides to mutate Glu318 to Ser, Lys320 to Ser, Lys322 to Ser, and Pro331 to Ala:

**Antis CH2 EKKP/SSA-6:** GAT GGT TTT CTC GAT GGC GGC TGG GAG

GGC TTT GTT GGA GAC CGA GCA CGA GTA CGA CTT GCC ATT CAG

*B* 15 CCA GTC CTG GTG<sub>^</sub>, (SEQ ID NO. 20).

**Sense CH2 EKKP/SSA-6:** CAC CAG GAC TGG CTG AAT GGC AAG TCG

TAC TCG TGC TCG GTC TCC AAC AAA GCC CTC CCA GCC GCC ATC

*B* GAG AAA ACC ATC<sub>^</sub>, (SEQ ID NO. 21).

20

#### In vitro Assays of the Mutants

Sub  
D4

Results of the CDC demonstrate that mutant hBR96-2B has approximately 10 fold less activity than the control hBR96-1 (two affinity mutations, one in H2 and one in

*B* 25 H3, ~~refer to previous patent~~ (Figure 20)). The mutants that <sup>are cytotoxic</sup> have the least ability to

*B* ~~kill cells~~ in the presence of complement <sup>are</sup> is hBR96-2C with the triple mutations at

*B* positions 318, 320, and 322 and the hBR96-2H mutant ~~(least cytotoxic antibodies in~~

*B* ~~the panel)~~, which contains all six mutations at the three different locations. <sup>Loss of</sup> ADCC

*B* activity was most affected by the <sup>CH<sub>2</sub></sup> ~~CH<sub>2</sub>~~ deleted hBR96-2 molecule (Figure 21).

- hBR96-2B and -2H lost between 100 and 1000 fold <sup>ability</sup> ~~activity~~ to kill in the presence of effector cells. In the ADCC assay the hBR96-2B molecule also lost approximately 10 fold activity (Figure 21).
- 5 Figures 26-28 provide the amino acid sequences for the heavy chain variable region for both chimeric and humanized BR96 having the H1, H2, and H3 mutations. The amino acid sequence for the light chain variable region is known and methods for generating it are found in PCT Application No. 95/305444. Additionally provided is the amino acid sequence for the IgG1 constant region. Mutations in the constant
- 10 region are marked.